



ERISA Audits

Cutting Corners Could be Costly

Under Federal law, most employee benefit plans with 100 or more eligible participants, must submit audited financial statements when filing their annual reports known as the Form 5500. Because of the frequency of these audits, many organizations are tempted to trim costs by hiring smaller, less-experienced firms. While this may save money initially, inaccurate and untimely audit results can expose your business to big penalties. A quality audit is essential protection for your plan and for your company. The Department of Labor (DOL) places rigorous focus on ERISA plans; that's where we come in.

Trust WNDE for Your Accounting and Advisory Needs

With more than 90 years of experience providing high-quality audit, tax, and management advisory services, White Nelson Diehl Evans is eager to help you reach your full potential. Our mission is to deliver quality, integrity, and balance in all aspects of client service. We achieve this mission by seeking to gain a complete understanding of your goals and aspirations, then we work tirelessly to help you achieve them. It's as simple as this—at WNDE, your success is our success.

Timely and Accurate Results from Trained Professionals

When it comes to ERISA audits, WNDE professionals are tried and true. Our extensive experience in evaluating areas unique to audits of employee benefit plans, including compensation and contribution issues positions us to easily identify problems and make key recommendations regarding compliance that often include lower cost alternatives. As a member of the American Institute of Certified Public Accountants' Employee Benefit Plan Audit Quality Center we are held to a higher standard. In addition, as part of our commitment to providing quality ERISA audits we attend annual industry conferences and perform ongoing training in order to keep abreast of any and all regulatory changes. WNDE also has a number of team members who are accredited with AICPA Advanced Defined Contribution Plan Audit Certifications.

Contact an **ERISA Audit Specialist** today to schedule a consultation.

WHAT CAN YOU EXPECT?

When you partner with WNDE, you will receive customized services to fit your individual needs. We offer a full range of services tailored to our ERISA clients, including:

- 401(k) and 403(b) plans
- Defined benefit plans
- Defined contribution plans
- Employee stock option plans (ESOP)
- Profit-sharing plans
- Guidance on the correction of plan failures, operational deficiencies and audit findings